

OHIO PUBLIC WORKS COMMISSION  
65 East State Street, Suite 312  
Columbus, Ohio 43215  
(614) 466-0880

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 6/90

CB626

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

APPLICANT NAME  
STREET

Village of Elmwood Place

6118 Vine Street

CITY/ZIP

Elmwood Place 45216

PROJECT NAME  
PROJECT TYPE  
TOTAL COST

Elmwood Residential Streets Phase I 1993

Reconstruction

\$ 499,425

DISTRICT NUMBER  
COUNTY

2

Hamilton

PROJECT LOCATION ZIP CODE

45216

02 OCT 2 P2:24

OFFICE OF THE  
COUNTY ENGINEER

DISTRICT FUNDING RECOMMENDATION  
To be completed by the District Committee ONLY

RECOMMENDED AMOUNT OF FUNDING: \$ 449,482.00

FUNDING SOURCE (Check Only One):

State Issue 2 District Allocation

☒ Grant

☐ Loan

☐ Loan Assistance

☐ State Issue 2 Small Government Fund

☐ State Issue 2 Emergency Funds

☐ Local Transportation Improvement Fund

FOR OPWC USE ONLY

OPWC PROJECT NUMBER:

OPWC FUNDING AMOUNT: \$

# 1.0 APPLICANT INFORMATION

1.1 CHIEF EXECUTIVE  
OFFICER  
TITLE  
STREET

Betty Roy  
Mayor  
6118 Vine Street

CITY/ZIP  
PHONE  
FAX

Elmwood Place 45216  
( 513 ) 242 - 0563  
( ) -

1.2 CHIEF FINANCIAL  
OFFICER  
TITLE  
STREET

Richard Ellison  
Clerk/Treasurer  
6118 Vine Street

CITY/ZIP  
PHONE  
FAX

Elmwood Place 45216  
( 513 ) 242 - 0563  
( ) -

1.3 PROJECT MGR  
TITLE  
STREET

William R. McCormick  
City Engineer  
1947 Auburn Avenue

CITY/ZIP  
PHONE  
FAX

Cincinnati, Ohio 45219  
( 513 ) 721 - 5500  
( 513 ) 721 - 0607

1.4 PROJECT CONTACT  
TITLE  
STREET

William R. McCormick  
City Engineer  
1947 Auburn Avenue

CITY/ZIP  
PHONE  
FAX

Cincinnati, Ohio 45219  
( 513 ) 721 - 5500  
( 513 ) 721 - 0607

1.5 DISTRICT LIAISON  
TITLE  
STREET

Joseph Cottrill  
District 2 Liaison  
138 East Court Street

CITY/ZIP  
PHONE  
FAX

Cincinnati 45202  
( 513 ) 632 - 8523  
( 513 ) 723 - 9748

## 2.0 PROJECT INFORMATION

**IMPORTANT:** If project is multi-jurisdictional in nature, information must be consolidated for completion of this section.

2.1 PROJECT NAME: Elmwood Residential Streets Phase I 1993

2.2 BRIEF PROJECT DESCRIPTION - (Sections A through D):

A. SPECIFIC LOCATION:

see attached map

B. PROJECT COMPONENTS:

Replace existing pavement to subgrade. Replace all curbs and catch basins. Repair all deteriorated storm sewer systems.

C. PHYSICAL DIMENSIONS/CHARACTERISTICS:

Walnut Street - 30'Wx2500'L  
Sycamore - 30'Wx850'L

D. DESIGN SERVICE CAPACITY:

**IMPORTANT:** Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include current residential rates based on monthly usage of 7,756 gallons per household.

Elmwood's residential streets are in severely distressed condition as evidenced by the attached pictures. This project will help repair a deteriorating infrastructure.

Walnut Street -  $400 \text{ VPD} \times 1.2 = 480 \text{ VPD}$   
Sycamore -  $150 \text{ VPD} \times 1.2 = 180 \text{ VPD}$

2.3 REQUIRED SUPPORTING DOCUMENTATION

(Photographs/Additional Description; Capital Improvements Report; Priority List; 5-year Plan; 2-year Maintenance of Effort report, etc.) Also discuss the number of temporary and/or fulltime jobs which are likely to be created as a result of this project. Attach Pages. Refer to accompanying instructions for further detail.

### 3.0 PROJECT FINANCIAL INFORMATION

#### 3.1 PROJECT ESTIMATED COSTS (Round to Nearest Dollar):

a)	Project Engineering Costs:	
	1. Preliminary Engineering	\$ <u>N/A</u>
	2. Final Design	\$ <u>N/A</u>
	3. Construction Supervision	\$ <u>N/A</u>
b)	Acquisition Expenses	
	1. Land	\$ <u>N/A</u>
	2. Right-of-Way	\$ <u>N/A</u>
c)	Construction Costs	\$ <u>499,425</u>
d)	Equipment Costs	\$ <u>          </u>
e)	Other Direct Expenses	\$ <u>          </u>
f)	Contingencies	\$ <u>          </u>
g)	TOTAL ESTIMATED COSTS	\$ <u>499,425</u>

#### 3.2 PROJECT FINANCIAL RESOURCES (Round to Nearest Dollar and Percent)

	Dollars	%
a)	Local In-Kind Contributions *	\$ <u>          </u>
b)	Local Public Revenues	\$ <u>49,942.50</u> <u>10</u>
c)	Local Private Revenues	\$ <u>          </u>
d)	Other Public Revenues	
	1. ODOT	\$ <u>          </u>
	2. FMHA	\$ <u>          </u>
	3. OEPA	\$ <u>          </u>
	4. OWDA	\$ <u>          </u>
	5. CDBG	\$ <u>          </u>
	6. Other <u>                                </u>	\$ <u>          </u>
e)	OPWC Funds	
	1. Grant	\$ <u>449,482.50</u> <u>90</u>
	2. Loan	\$ <u>          </u>
	3. Loan Assistance	\$ <u>          </u>
f)	TOTAL FINANCIAL RESOURCES	\$ <u>499,425</u> <u>100</u>

\* If the required local match is to be 100% In-Kind Contributions, list source of funds to be used for retainage purposes:

#### 3.3 AVAILABILITY OF LOCAL FUNDS

Indicate the status of all local share funding sources listed in section 3.2(a) through 3.4(c). In addition, if funds are coming from sources listed in section 3.2(d), the following information must be attached to this project application:

- 1) The date funds are available;
- 2) Verification of funds in the form of an agency approval letter or agency project number. Please include the name and number of the agency contact person.

### 3.4 PREPAID ITEMS

#### Definitions:

<b>Cost -</b>	Total Cost of the Prepaid Item.
<b>Cost Item -</b>	Non-construction costs, including preliminary engineering, final design, acquisition expenses (land or right-of-way).
<b>Prepaid -</b>	Cost items (non-construction costs directly related to the project) paid prior to receipt of fully executed Project Agreement from OPWC.
<b>Resource Category -</b>	Source of funds (see section 3.2).
<b>Verification -</b>	Invoice(s) and copies of warrant(s) used to for prepaid costs accompanied by Project Manager's Certification (see section 1.4).

**IMPORTANT:** Verification of all prepaid items shall be attached to this project application

	<u>COST ITEM</u>	<u>RESOURCE CATEGORY</u>	<u>COST</u>
1)	_____	_____	\$ _____
2)	_____	_____	\$ _____
3)	_____	_____	\$ _____
TOTAL OF PREPAID ITEMS		\$	N/A _____

### 3.5 REPAIR/REPLACEMENT or NEW/EXPANSION

This section need only be completed if the Project is to be funded by SI2 funds:

<b>TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT</b>	\$ 499,425.00	100 %
State Issue 2 Funds for Repair/Replacement (Not to Exceed 90%)	\$ 449,482.00	90
<b>TOTAL PORTION OF PROJECT NEW/EXPANSION</b>	\$ _____	_____ %
State Issue 2 Funds for New/Expansion (Not to Exceed 50%)	\$ _____	_____

### 4.0 PROJECT SCHEDULE

	ESTIMATED START DATE	ESTIMATED COMPLETE DATE
4.1 ENGR. DESIGN	8 / 1 / 92	1 / 30 / 93
4.2 BID PROCESS	5 / 15 / 93	6 / 15 / 93
4.3 CONSTRUCTION	6 / 30 / 93	9 / 15 / 93

## 5.0 APPLICANT CERTIFICATION

The Applicant Certifies That:

As the official representative of the Applicant, the undersigned certifies that: (1) he/she is legally empowered to represent the applicant in both requesting and accepting financial assistance as provided under Chapter 164 of the Ohio Revised Code and 164-1 of the Ohio Administrative Code; (2) that to the best of his/her knowledge and belief, all representations that are a part of this application are true and correct; (3) that all official documents and commitments of the applicant that are a part of this application have been duly authorized by the governing body of the Applicant; (4) and, should the requested financial assistance be provided, that in the execution of this project, the Applicant will comply with all assurances required by Ohio law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

**IMPORTANT:** Applicant certifies that physical construction on the project as defined in this application has not begun, and will not begin, until a Project Agreement on this project has been issued by the Ohio Public Works Commission. Action to the contrary is evidence that OPWC funds are not necessary to complete this project.

**IMPORTANT:** In the event of a project cost underrun, applicant understands that the identified local match share (sections 3.2(a) through 3.2(c)) will be paid in full toward completion of this project. Unneeded OPWC funds will be returned to the funding source from which the project was financed.

Betty Roy, Mayor

Certifying Representative (Type Name and Title)

X Betty Roy 7/30/92

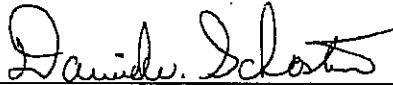
Signature/Date Signed

Applicant shall check each of the statements below, confirming that all required information is included in this application:

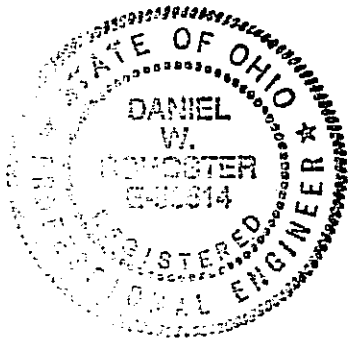
<u>      </u>		A five-year Capital Improvements Report as required in 164-1-31 of the Ohio Administrative Code and a <u>two-year Maintenance of Local Effort Report</u> as required in 164-1-12 of the Ohio Administrative Code.
<u>  X  </u>		A registered professional engineer's estimate of useful life as required in 164-1-13 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> .
<u>  X  </u>		A registered professional engineer's estimate of cost as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> .
<u>  X  </u>		A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and to execute contracts.
<u>      </u>	YES	A copy of the cooperation agreement(s) (for projects involving more than one subdivision or district).
<u>  X  </u>	N/A	
<u>      </u>	YES	Copies of all invoices and warrants for those items identified as "pre-paid" in section 4.4 of this application.
<u>  X  </u>	N/A	

USEFUL/LIFE EXPECTANCY CERTIFICATION

This is to certify that upon successful completion of the 1993 Elmwood Place Residential Streets Project Phase I, the useful life expectancy will be at least 20 years.



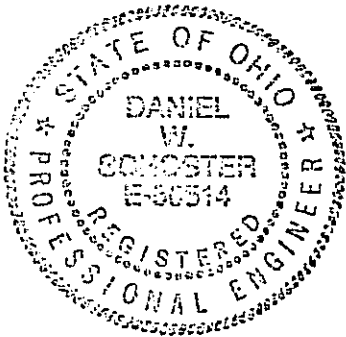
Daniel W. Schoster, P.E.



ENGINEER'S ESTIMATE  
WALNUT STREET  
ELMWOOD PLACE

DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	TOTAL
Remove Ex. Pavement	SY	8,300	4	33,200
R&R Curb	LF	3,500	20	70,000
R&R Catch Basin	EA	12	1,000	12,000
12" Storm	LF	300	20	6,000
18" Storm Sewer	LF	300	35	10,500
Asphalt Base Course	CY	1,300	60	78,000
Asphalt Surface Course	CY	450	60	27,000
R&R Sidewalk	SF	5,000	5	25,000
Handicap Ramps	EA	14	500	7,000
Concrete Drive Aprons	SF	5,000	10	50,000
Sodding	LS	1	10,000	10,000
Utility Adjustment	EA	20	150	3,000
Maintain Traffic	LS	1	5,000	5,000
TOTAL				\$336,700

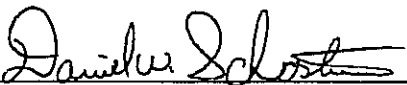
  
Daniel W. Schoster, P.E.

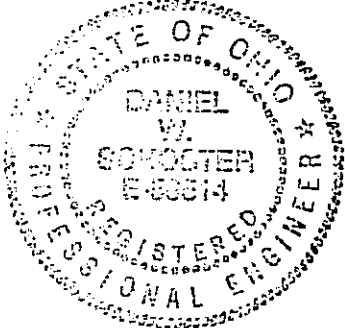




ENGINEER'S ESTIMATE  
SYCAMORE STREET  
ELMWOOD PLACE

DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	TOTAL
Remove Ex. Pavement	SY	2,900	4	11,600
R&R Curb	LF	1,700	20	34,000
R&R Catch Basin	EA	8	1,000	8,000
12" Storm	LF	275	20	5,500
18" Storm Sewer	LF	275	35	9,625
Asphalt Base Course	CY	500	60	30,000
Asphalt Surface Course	CY	175	60	10,500
R&R Sidewalk	SF	2,000	5	10,000
Handicap Ramps	EA	8	500	4,000
Concrete Drive Aprons	SF	3,000	10	30,000
Sodding	LS	1	6,000	6,000
Utility Adjustment	EA	10	150	1,500
Maintain Traffic	LS	1	2,000	2,000
TOTAL				\$162,725

  
Daniel W. Schoster, P.E.





# VILLAGE OF ELMWOOD PLACE

TOWN HALL • 6118 VINE STREET  
ELMWOOD PLACE, OHIO • 45216  
(513) 242-0563

## STATUS OF FUND REPORT

The Village of Elmwood Place will utilize monies from its General Fund as its participation in the 1993 Residential Streets Phase II Project.

Richard Ellison 9/30/92  
Fiscal Officer



Walnut Street  
Elmwood Place



Patching, Potholes  
Cracking



Alligator Cracking, Potholes  
Pavement Ravelling

Walnut Street  
Elmwood Place



Alligator Cracking & Patching



Patching, Multiple Types of  
Cracking & Pavement Spalling

Sycamore Street  
Elmwood Place



Patching & Multiple Types  
of Cracking



Patching, Potholes  
Multiple Types of Cracking

Sycamore Street  
Elmwood Place



Patching, Pavement Scaling  
Multiple Types of Cracking



Alligator Cracking, Scaling  
Oxidized Pavement

RESOLUTION \_\_\_\_\_

AUTHORIZING FILING OF APPLICATION FOR  
1993 ISSUE II FUNDS AND EXECUTION OF  
PROJECT AGREEMENT WITH OHIO PUBLIC WORKS COMMISSION

BE IT RESOLVED, by the Council of the Village of Elmwood Place, State of Ohio, a majority of the members elected thereto concurring:

Section 1.

The City Council of the Village of Elmwood Place hereby approves filing an application for 1993 Issue II funds to the District Public Works Integrating Committee.

Section 2.

The Mayor is hereby authorized and directed to execute a Project Agreement with the Ohio Public Works Commission.

Section 3.

This resolution shall take effect and be in force at the earliest period allowed by law.

Passed this 6<sup>th</sup> day of October 1992.

Betty Roy Randall Shank  
President of Council

Richard E. Ellman  
Clerk of Council

Attest:

Approved this 6<sup>th</sup> day of October 1992.

Betty Roy  
Mayor



ADDITIONAL SUPPORT INFORMATION

For Fiscal Year 1994 (July 1, 1993 through June 30, 1994), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed \_\_\_\_\_

Poor X \_\_\_\_\_

Fair \_\_\_\_\_

Good \_\_\_\_\_

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

Multiple types of cracking, potholes and utility patching are  
evident throughout the project. An inadequate drainage system  
has contributed to the poor condition of the roads.

- 2) If State Issue 2 funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1993) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

4 weeks/months (Circle one)

Are preliminary plans or engineering completed?	Yes	<u>No</u>
Are detailed construction plans completed?	Yes	<u>No</u>
Are all right-of-way and easements acquired?	Yes	No <u>N/A</u>
Are all utility coordinations completed?	Yes	<u>No</u> N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed. \_\_\_\_\_ weeks/months

Preliminary and final engineering will be complete by 5/15/93  
Utility coordination will be complete by 6/15/93

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, and commerce.) Please be specific and provide documentation if necessary to substantiate the data.

Due to the extremely poor condition of the existing pavement, safety of the motorists will be greatly increased because numerous hazards such as potholes and uneven pavement will be eliminated.

- 4) What type of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	<u>x</u> _____
MRF	_____	ODNR	_____	CD	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1992 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

10 %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban \_\_\_\_\_ Partial Ban \_\_\_\_\_ No Ban x\_\_\_\_\_

Will the ban be removed after the project is completed?

Yes \_\_\_\_\_ No \_\_\_\_\_

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

Walnut =  $400 \times 1.2 = 480$  VPD

Sycamore =  $150 \times 1.2 = 180$  VPD

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., chapter 164? (This must be included with the application to be considered for funding.)

Yes   x              No           

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This project will impact only residents of Elmwood Place.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

# STATE ISSUE 2 PROGRAM - ROUND 6

## LTIP PROGRAM - ROUND 5

FISCAL YEAR 1994 PROJECT SELECTION CRITERIA - JULY 1, 1993 TO JUNE 30, 1994

ADOPTED BY THE DISTRICT 2 INTEGRATING COMMITTEE JULY 17, 1992

AMENDED BY THE DISTRICT 2 INTEGRATING COMMITTEE SEPTEMBER 18, 1992

JURISDICTION/AGENCY: ELMWOOD PLACE

NAME OF PROJECT: ELMWOOD RESID. STS. PHASE I

TOTAL POINTS FOR THIS PROJECT: 52

### NO. POINTS

- 10 1) If Issue 2/LTIP Funds are granted, when would the construction contract be awarded? (The Support Staff will assign points based on engineering experience.)
- 10 Points - Will be under contract by end of 1993
  - 5 Points - Will be under contract by March 30, 1994
  - 0 Points - Will not be under contract by March 30, 1994
- 20 2) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.
- 20 Points - Poor Condition
  - 16 Points -
  - 12 Points - Fair to Poor Condition
  - 8 Points -
  - 4 Points - Fair Condition

NOTE: If the infrastructure is in "good" or better condition it will NOT be considered for Issue 2/LTIP funding, unless it is a betterment project that will improve serviceability.

2

- 3) If the project is built, what will be its effect on the facility's serviceability?

- 10 Points - Significant effect (e.g., widen to and add lanes along entire project)
- 8 Points - Moderate to significant effect
- 6 Points - Moderate effect (e.g., widen exist. lanes)
- 4 Points - Moderate to little effect
- 2 Points - Little or no effect (e.g., street or bridge deck rehabilitation)

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- 4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area?

- 10 Points - Highly significant importance, with substantial impact on all 3 factors
- 8 Points - Considerably significant importance, with substantial impact on 2 factors OR noticeable impact on all 3 factors
- 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors
- 4 Points - Minimal importance, with noticeable impact on 1 factor
- 2 Points - No measurable impact

- 5) What is the overall economic health of the jurisdiction?

- 10 Points - Poor
- 8 Points -
- 6 Points - Fair
- 4 Points -
- 2 Points - Excellent

1

- 6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds.

- 5 Points - 50% or more
- 4 Points - 40% to 49.99%
- 3 Points - 30% to 39.99%
- 2 Points - 20% to 29.99%
- 1 Point - 10% to 19.99%

- 0 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

5 Points - Complete or significant ban  
3 Points - Partial or moderate ban  
0 Points - No ban of any kind

- 1 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

5 Points - 10,000 or more  
4 Points - 7,500 to 9,999  
3 Points - 5,000 to 7,499  
2 Points - 2,500 to 4,999  
1 Point - 2,499 and under

- 1 9) Does the infrastructure have REGIONAL impact? Consider origins and destinations of traffic, functional classification, size of service area, number of jurisdictions served, etc.

5 Points - Major impact (e.g., major multi-jurisdictional route, primary feed route to an Interstate, Federal - Aid Primary routes)  
4 Points -  
3 Points - Moderate impact (e.g., principal thoroughfares, Federal - Aid Urban routes)  
2 Points -  
1 Point - Minimal or no impact (e.g., cul-de-sacs, subdivision streets)

- 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure?

2 Points - Two of the above  
1 Point - One of the above  
0 Points - None of the above

**ADDENDUM TO THE RATING SYSTEM  
DEFINITIONS**

**CRITERION 2 - CONDITION**

Poor - Condition is dangerous, unsafe or unusable

Fair to Poor - Condition is inadequate or substandard

Fair - Condition is average, not good or poor

**CRITERION 5 - ECONOMIC HEALTH**

The following factors are used to determine economic health:

- 1) Median per capita income
- 2) Per capita assessed valuation of the total community real estate and personal property
- 3) Poverty indicators
- 4) Effective tax rates
- 5) Total corporate debt as a percentage of assessed valuation
- 6) Municipal revenues and expenditures per capita

**CRITERION 9 - REGIONAL IMPACT**

- |                   |  |
|-------------------|--|
| Major impact -    | Primary water or sewer main serving an entire system     |
| Moderate impact - | Waterline or storm sewer serving only part of a system   |
| Minimal impact -  | Individual waterline or storm sewer not part of a system |